

STATE ANNUAL ACTION PLAN (SAAP)  
(FY2016-17)

**GOVERNMENT OF  
NATIONAL CAPITAL  
TERRITORY OF DELHI**

**Checklist – Consolidated State Annual Action Plan of all ULBs to be sent for Assessment by MoUD (as per table 6.2)**

<b>Sl. No.</b>	<b>Points of Consideration</b>	<b>Yes/No</b>	<b>Give Details</b>
1.	Have all the Cities prepared SLIP as per the suggested approach?	Yes	The residual SLIPs of FY 2015-16 alongwith the current SLIPs of FY 2016-17 have been taken for consideration of the SHPSC and Apex Committee of MoUD, GoI.
2.	Has the SAAP prioritized cities for investment as per priority sectors and gap assessment?	Yes	Priority has been given to projects of Water, Sewerage & Green area projects, only.
3.	Is the indicator wise summary of improvements proposed (both investments and management improvements) by State in place?	Yes	Yes
4.	Have all the cities under Mission identified/done baseline assessments of service coverage indicators?	Yes	Yes
5.	Is the SAAP derived from an approach towards meeting Service Level Benchmarks agreed by Ministry for each Sector?	Yes	SAAP has been prepared aiming at improving Service Level Benchmarks prescribed by MoUD.
6.	Is the investment proposed commensurate to the level of improvement envisaged in the indicator?	Yes	The investment proposed thus commensurate to the level of improvement envisaged. However the SAAP amount allocated to Delhi for 5 years is too meagre i.e. Rs. 800 Crores.
7.	Are State Share and ULB share in line with proposed Mission approach?	NA	As per the OM No. 1455/FD-II/FD/2016, dated 16.02.2016, the AMRUT scheme will now be funded 100% by Govt. of India for UTs. Therefore the question of the state and ULB share does not arise.
8.	Is there a need for additional resources and have state considered raising additional resources (State programs, aided projects, additional devolution to cities, 14th Finance Commission, external sources)?	Yes	Additional resources are required in the AMRUT Scheme since the total allocation made for AMRUT is Rs. 800 Crores only. Whereas most of the projects cost of water & sewerage are on the higher side. Hence would require additional resources/aid from the GoI.

9.	Does State Annual Action Plan verify that the cities have undertaken financial projections to identify revenue requirements for O&M and repayments?	No	It has been informed by the ULBs/Parastatals that each agency has a project division and a maintenance division after completion of the project, the completed project are handed to the maintenance division, which keeps a maximum of 20% of the project cost as expenditure towards O&M cost
10.	Has the State Annual Action Plan considered the resource mobilization capacity of each ULB to ensure that ULB share can be mobilized?	NA	As the MoUD has decided to provide 100% central assistance to UTs as such, providing ULB share does not arise.
11.	Has the process of establishment of PDMC been initiated and completed?	Yes	The process of establishment of PDMC has begun, will take at least a month to be completed.
12.	Has a roadmap been prepared to realize the resource potential of the ULB?	Yes	The ULBs are tapping various sources of resource mobilization.
13.	Is the implementation plan for projects and reforms in place (Timelines and yearly milestones)?	Yes	All possible efforts are being made to observe the timelines given under AMRUT guidelines.
14.	Has the prioritization of projects in ULBs been done in accordance with para 7.2 of the guidelines?	Yes	Priority has been given to Water, Sewerage projects as per guidelines.

State Mission Director

## Minutes of State High Powered Steering Committee (SHPS) Meeting

The SHPS meeting was scheduled for 22/09/2016 which could not be held due to unavoidable circumstances. It is informed that the SAAP would be ratified/taken ex-post-facto approval/sanction will be taken shortly. However, the Nodal Department for AMRUT/UD Department, GNCTD has been prioritized the projects, as per the guidelines for an amount of Rs. 265.73 Crores, a brief detail of projects are as under:-

Sl. No.	Sector	Implementing Agency	Project Name	To be completed	Project Cost
1	Sewerage & Septage	North DMC (DJB)	P/L sewer line in Budh Vihar Group of Colonies under Rithala Rohini WWTP (Total Length 41 Kms)	2016-19	95
2	Storm Water Drainage	South DMC	Construction / Improvement of drainage scheme for Sidharth Ext. pocket B, C & Sidharth Basti in ward No. 154/Central Zone	2018-19	7.92
3	Sewerage & Septage	South DMC (DJB)	Providing and laying sewer line in Raj Nagar group of colonies in Bijwasan Constituency.	2016-18	55
4	Water Supply	East DMC (DJB)	Construction of 5.90 MG (26.80 ML) UGR/BPS at Sonia Vihar (AC-70)	2017-18	40.4
5	Water Supply	East DMC (DJB)	P/L/J feeder and peripheral water mains in the command of 26.80 ML UGR/BPS, Sonia Vihar (AC-70)	2017-18	38.5
6	Water Supply	East DMC (DJB)	P/L/J water supply distribution network in B to G Block Sonia Vihar Karawal Nagar (AC-70)	2017-18	12.24
7	Water Supply	East DMC (DJB)	P/L/J water supply distribution network in village Sabhapur Extended Abadi under, Karawal Nagar (AC-70)	2017-18	5.266
8	Water Supply	East DMC (DJB)	P/L/J water supply distribution network in Shri Ram Colony, Rajiv Nagar under Karawal Ngar (AC-70)	2017-18	4.714
<b>Total (A)</b>					<b>259.04</b>
9	Greenery	NDCM	Constuction of 25 Nos. Rain water harvesting system with storage reservoir having capacity of 50,000 liters at each location: (i) Construction cost of 25 Nos. rainwater harvesting pit with modular technology, (ii) Construction cost of 25 Nos. underground water reservoir having capacity of 50,000 L.	2018-19	2.7
10	Greenery	East DMC	Strengthening the green spaces and parks in East Delhi. (Phase-I Rs. 0.89 Crores was taken in FY 2015-16 and Rs. 3.99 Crores as Phase-II in FY 2016-17, wherein the total project cost is Rs. 10.00 Crores).	2018-19	3.99
<b>Total (Greenery) (B)</b>					<b>6.69</b>
<b>Total A+ B</b>					<b>265.73</b>

### **Brief Description:-**

The Govt. of India has launched a new programme, which is a centrally sponsored scheme with 100% central funding through Ministry of Urban Development, Govt. of India, christened as Atal Mission for Rejuvenation and Urban Transformation (AMRUT), on 25th June, 2015. It aims at improving the quality of life for all, especially the poor, which has been recognized as a National priority.

The main purpose of the mission is to create infrastructure that would have a direct impact on the real needs of people such as providing water & toilet connection to each household and to increase the amenity value of cities by developing greenery as also to reduce pollution by switching to public transport & by constructing non-motorized transport. Initially an outlay of Rs 50,000 Crores has been kept by the Ministry of Urban Development, Govt. of India for a period of 5 years ending 2019-20 to cover 500 cities all over India. Delhi being capital of India is eligible for coverage under AMRUT.

### **The Thrust Area under Mission:-**

1. **Water Supply:-**The SAAP & SLIPs are being submitted aimed at improving the service delivery of water supply by covering areas which do not have any piped supply of water. In the case of Govt. of NCT of Delhi priority has been given to piped supply of water in the unauthorized colonies of Delhi. Also reducing leakages by Rehabilitating old & dilapidated water pipe lines, Up Gradation of Existing Water distribution system, providing and fixing AMR meters, Augmentation/rehabilitation of pump house, automation/instrumentation and SCADA system, rejuvenation of reservoirs.

2. **Sewerage & Septage:-**The thrust area of SAAP being submitted envisages covering of areas by sewerage system in which there is no sewerage system viz. placement of new sewer lines in area presently not having Sewerage system, in un-authorized colonies. It also aims towards faecal sludge management generated from community toilet complexes, rehabilitation of old sewerage treatment plant (STP), rehabilitation of old sewerage pumping stations (SPS), desilting and rehabilitation of 84” dia brick barrel sewer line.

3. **Storm Water Drainage:-**Water logging due to heavy rain is a very severe problem in many areas of the Govt. of NCT of Delhi. The projects under this category aim at addressing this problem by construction/improvement of drainage scheme and improvement of internal drains.

4. **Urban Transport:-**With the ever increasing number of vehicles in National Capital, provision for multilevel car parking has become very essential. Besides, various traffic management issues have to be addressed so as to ensure smooth flow of traffic. Keeping this in view, construction of Multilevel automated car parking including multilevel

underground car parking, last mile connectivity to integrate multi-modal transport system with NMT corridors and park and ride facility, IT enabled real time traffic management infrastructure are envisaged under AMRUT projects which can be taken up as per priority.

**5. Green Space & Parks:-**The projects under this category envisage development of garden with provision of construction of stone masonry toe wall with M.S. Railing, footpath, parking, Design, supply and installation of outdoor fitness equipment at various locations in green areas, Construction of 25 Nos. Rain water harvesting system with storage reservoir having capacity of 50,000 liters, Construction & up gradation of modern nursery, development of paved pathways, facilities to be provided in parks i.e. boundary walls, theme based entry gate, provision of open amphitheaters, provision of shredder machines, setting or resting features, landscaping, provision of outdoor/open gym, segregation of play area.

**Coverage under mission:-**

6. As per the MoUD's letter, the Govt. of NCT of Delhi has been divided into four Mission cities, which are as under:-

New Delhi Municipal Council (NDMC).

- (i) North Delhi Municipal Corporation (North DMC).
- (ii) South Delhi Municipal Corporation (South DMC).
- (iii) East Delhi Municipal Corporation (East DMC).

Since, the water & sewerage sector is mandate of Delhi Jal Board (DJB) in GNCTD. Therefore, the water & sewerage works of above mentioned mission cities will be carried out by DJB, as a parastatal agency, except NDMC area. All the above cities have a population of more than 10.00 lakh in each city. Therefore, all the cities fall under 2.1 of (i) of AMRUT guidelines.

**Program Management Structure:-**

A three tier Programme Management Structure exist in the AMRUT Mission, i.e.

1. **National level:** There is an APPEX COMMITTEE, under the chairmanship of Secretary, MoUD, GoI, consisting of representatives of concerned ministries and organizations, which will consider and approve the projects at national level and supervise the mission.
2. **State Level:** - The state mission is headed by State Mission Director from the Deptt. of Urban Development, GNCTD and his Secretariat. Then there is a State Level High Powered Steering Committee (SHPS), constituted as per the AMRUT, guidelines, which is headed by Chief Secretary, Govt. of NCT of Delhi. There is a committee called the State Level Technical Committee (SLTC) under the chairmanship of Principal Secretary, UD to examine and evaluate the projects and DPRs from time to time. A proposal is being moved for creation of a Programme Development Management Consultants (PDMC), which will appraise the proposal of ULBs/parastatals and help the State Mission Unit in day to day technical evaluation and also evaluate and examine the projects at site.

3. **City Level:** - The projects will be executed at city level by the Urban Local Bodies i.e. NDMC, North DMC, South DMC, East DMC and one parastatal agency i.e. Delhi Jal Board.

**Financial Allocation:-**

- (i) Project fund – 80% of the annual budgetary allocation.
- (ii) Incentive for Reforms – 10% of the annual budgetary allocation.
- (iii) State funds for Administrative & Office Expenses (A&OE) – 8% of the annual budgetary allocation
- (iv) MoUD funds for Administrative & Office Expenses (A&OE) – 2% of the annual budgetary allocation

**Funding Pattern:-**

As per the latest communication from MoUD, GoI vide letter No. M55/FD-11/FD/2016, dated 16/02/2016, AMRUT scheme will now be funded 100% by central funding.

**Project Fund:-**MoUD, GoI, vide OM No. 14012/95/2015-SC-II(Part.), dated 24/07/2016 has allocated an amount of Rs. 267.60 Crores to the GNCTD for the year 2016-17.

**Table 1.1: Breakup of Total MoUD Allocation in AMRUT Name of State:**

Govt. of NCT of Delhi

FY 2016-17

Total Central funds allocated to State	Allocation of Central funds for A&OE (@ 8% of Total given in column 1)	Allocation of funds for AMRUT (Central share)	Multiply col. 3 by x3) for AMRUT on col. 4 (project proposal to be three- times the annual allocation - CA)	Add equal (col. 4) State/ULB share	Total AMRUT annual size (cols.2+4+5)
1	2	3	4	5	6
267.60	7.13	265.73	NA	0	272.86

\* As per the latest communication from MoUD, GoI vide letter No. M55/FD-11/FD/2016, dated 16/02/2016, AMRUT scheme will now be funded 100% by central funding.

**Table 1.2.1: Abstract-Sector Wise Proposed Total Project Fund and Sharing Pattern**

Govt. of NCT of Delhi

FY 2016-17

Sl. No.	Sector	No. of Projects	Centre	State	ULB	Convergence	Others	Total Amount
1.	Water Supply	05	101.12	-	-	-	-	101.12
2.	Sewerage & Septage	02	150.00	-	-	-	-	150.00
3.	Storm Water Drainage	01	7.92	-	-	-	-	7.92
4.	Urban Transport	-	-	-	-	-	-	-
5.	Greenery	02	6.69	-	-	-	-	6.69
<b>Grand Total</b>		<b>10</b>	<b>265.73</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>265.73</b>



**Table 1.2.2: Abstract-Break-up of Total Fund Sharing Pattern**

Govt. of NCT of Delhi

FY 2016-17

Sl. No.	Sector	Centre	State			ULBs			Convergence	Others	Total
		Mission	14th FC	Others	Total	14th FC	Others	Total			
1	Water Supply	101.12	-	-	-	-	-	-	-	101.12	101.12
2	Sewerage & Septage Management	150.00	-	-	-	-	-	-	-	150.00	150.00
3	Drainage	7.92	-	-	-	-	-	-	-	7.92	7.92
4	Urban Transport	-	-	-	-	-	-	-	-	-	-
5	Others	6.69	-	-	-	-	-	-	-	-	6.69
	Grand Total										265.73
A.&O.E.											07.43
Total SAAP Size											273.16

**Table 1.3: Abstract-Use of Funds on Projects: On Going and New (2015-16 & 2016-17)**

(Amount in Crores)  
FY 2016-17

Sl. No.	Sector	Total Project Investment	Committed Expenditure (if any) from Previous year							Proposed Spending during Current Financial year							Balance Carry Forward for Next Financial Years							
			Centre	State			ULB			Centre	State			ULB			Centre	State			ULB			
				14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total	
1	Water Supply	214.54	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Sewerage and Septage Management	254.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Drainage	7.92	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Urban Transport	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Others (Greenery)	12.34															5.12	-	-	-	-	-	-	-
	Grand Total	488.80	-	-	-	-	-	-	-	-	-	-	-	-	-	-	5.12	-	-	-	-	-	-	-

1.4 Abstract-Plan for Achieving Service Level Benchmarks

Proposed Priority Projects	Total Project Cost	Indicator	Baseline	Annual Targets based on Master Plan (Increment from the Baseline Value)					
				FY 2016		FY 2017	FY 2018	FY 2019	FY 2020
				H1	H2				
<b>Water Supply</b>									
1	214.54	Household level coverage of direct water supply connections	86.44% (NDMC)	83.87	83.87	84	86	87	89
			81.30% (in rest ULBs)						
		Per capita quantum of water supplied	317.63 (NDMC)	271.13	271.13	275	275	275	275
			225 (in rest ULBs)						
Quality of water supplied	91.65% (NDMC) 96.50% (in rest ULBs)	94.07	94.50	96.07	97	98	98.50		
<b>Sewerage and Septage Management</b>									
2	254.00	Coverage of latrines (individual or community)	55	55.50	56.50	57	59	62	65
		Coverage of sewerage network services	55%	55	56	57	62	65	72
		Efficiency of Collection of Sewerage	63%	63.50	64	65	67	69	72
		Efficiency in treatment	75%	75.50	76	77	79	81	83
<b>Drainage</b>									
	7.92	Coverage of storm water drainage network	95%	95.50	95.50	96.00	97	98	98.50
<b>Urban Transport</b>									
	-	Service coverage of urban transport in the city	N.A.	-	-	-	-	-	-
	-	Availability of urban transport per 1000 population	N.A.	-	-	-	-	-	-
<b>Others</b>									
	12.34		NA						

**Table 3.2.: Sector Wise Breakup of Consolidated Investments for all ULBs in the State**

**Name of State – Govt. of NCT of Delhi.**

**FY- 2016-17**

**(Amount in Crores)**

<b>Name of City</b>	<b>Water Supply</b>	<b>Sewerage and Septage Management</b>	<b>Drainage</b>	<b>Urban Transport</b>	<b>Green Spaces and Parks</b>	<b>Reforms and Incentives</b>	<b>Total Amount</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>
NDMC	-	-	-	-	2.70	-	2.70
East DMC	101.12	-	-	-	3.99	-	105.11
North DMC	-	95.00	-	-	-	-	95.00
South DMC	-	55.00	7.92	-	-	-	62.92
<b>Total</b>							<b>265.73</b>
<b>Total Project Investment</b>							<b>265.73</b>
<b>A.&amp;O.E</b>							<b>7.13</b>
<b>Grand Total</b>							<b>272.86</b>



## Chapter 2: Review of SAAPs

The state is required to prepare SAAP every year and get it approved by the Apex Committee. Before preparing the current year's SAAP, a key requirement is to review the performance of the approved SAAP of the previous years. This chapter reviews the performance of the implementation of the past SAAPs on key themes in the AMRUT Guidelines.

### Project Progress

In this section the physical and financial progress is reviewed. Please complete the following table and respond to the questions.

Sl. No	Name ULB	Approved SAAP		DPR (Y/N)	SLTC (Y/N)	Work Order (Y/N)	Implementation Progress		Amount disbursed till date (In Cr)
		Project name	Amount (In Cr)				Physical (%)	Financial (%)	
1	South DMC (DJB)	Replacement of old 900 mm dia PSC duplicate main from MajnookaTeela (Pontoon Pul) to Rajghat, Old Fort to GK UGR and 800 mm dia Okhla main from Barapullahnallah to Okhla WTP.	113.42	Yes	No	No	Nil	Nil	22.68
2	North DMC (DJB)	P/L sewer line in Bhalaswa Group of Colonies under RithalaRohini WWTP (Total Length 162 Kms)	34	No	No	No	Nil	Nil	6.80
3	North DMC (DJB)	P/L sewer line in Wazirabad Group of Colonies under coronation pillar WWTP (Total Legth 87 Kms)	70	No	No	No	Nil	Nil	14.00
4	NDMC	Nurseries (details enclosed in SLIP), (i) Construction of modern nursery at NDMC Nursery, Pandi Uma Shankar Dixit Marg (near VishwaYuvak Kendra), (ii) Upgradation of nursery at Madhulimaya Marg.	0.76	No	No	No	Nil	Nil	0.15
5	South DMC	Paved Pathway: Development of Katcha walkway & tarils in parks.	4	Yes	No	No	Nil	Nil	0.80
6	East DMC	Strengthening the green spaces and parks in East Delhi (Phase-I, total project cost is Rs. 10 Crores), to be carried forward to the next Financial Year.	0.89	Yes	No	No	Nil	Nil	0.18
Total			223.07	-	-	-	-	-	44.61

- **Have DPRs been prepared for all projects approved earlier? If not then which are the projects for which DPR is pending and why? (500 words)**

Reply:- During the FY 2015-16, six projects were approved for Rs. 223.60 Crore, wherein only 03 DPRs have been received so far, out of which one pertain to sewerage and one pertains to greenery & parks as per details above. DPRs of other three projects are yet to be submitted, which are likely to be submitted by 15/10/2016.

- **What is the plan of action for the pending DPRs? (300 words)**

Reply:- As mentioned above the pending DPRs are likely to be submitted by 15/10/2016, in this regard, letter/reminders have been issued to concerned agency.

- **How many SLTC meetings had been held in the State? How many DPRs have been approved by the SLTC till date? (250 words)**

Reply:- No meeting of SLTC has taken place, so far. Since, DPRs submitted late and submitted ones have been sent to various agencies for vetting. However, the SLTC may take place sometime after 15/10/2016.

- **By when will the pending DPRs be approved by the SLTC and when will implementation start? (250 words)**

Reply:-The submission of DPR for the FY 2015-16 has been delayed, as a result of which the projects cleared during the FY 2015-16 have not begun so far. Only 3 DPRs have been received so far and those have been sent to various agencies for vetting. It is expected that all the DPRs shall be submitted sometime after 15/10/2016.

- **Based on the identification of delayed projects and the reasons for slow physical progress, what is the plan of action to speed-up the projects? (300 words)**

Reply:- It is a fact that the progress of implementation of projects has been slow, since none of the six DPRs have been approved by SLTC for the year FY 2015-16 and the funds have not been utilized so far. Several letters to implementing agencies have been issued to submit their DPRs at the earliest and start their projects thereafter. The matter regarding submission of DPRs has already regularly been taken up and in case of any further delay, intervention at higher level will be sought to make things move ahead.

- **How much amount has been utilized and what is the percentage share of the funding agencies? Are there any deviations from the approved funding pattern approved by the Apex Committee? (tabular form and 500 words)**

Reply:- An amount of Rs. 44.61 Crores was received as 1<sup>st</sup> installment from the MoUD, GoI for the SAAP for the FY 2015-16 and the same has already been disbursed to all the concerned implementing agencies. The MoUD, GoI vide its letter No. M55/FD-11/FD/2016, dated 16/02/2016, AMRUT scheme will now be

funded 100% by central funding. No deviations exists from the approved funding pattern approved by the Apex Committee

- **List out the projects where release of funds to ULBs by the State was delayed?**

Reply:-1<sup>st</sup> installment was released to all concerned AMRUT cities on 31/03/2016, vide letter No. 725(7)/UD/AMRUT/2015/679-94 dated 31.03.2016, hence there has been no delay in release of funds to the ULBs. However, the funds pertaining to water & sewerage project have been parked with the Municipal Corporation before transferring them to DJB which is parastatal agency mandated for implementing water & sewerage projects. We are in the process of finalizing of tripartite agreement between GNCTD, Municipal Corporation and DJB.

- **In how many ULBs implementation was done by agencies other than ULBs? Was a resolution taken from all ULBs? (tabular and 200 words)**

Reply:-The DJB is mandated with implementation of water & sewerage in the National Capital Territory of Delhi. The three DMCs (Municipal Corporations) do not implement water & sewerage projects even in their own jurisdiction. Therefore, DJB as a parastatal agency will implement all the water & sewerage projects within the jurisdiction of NCT of Delhi.

- **List out the projects where the assessed value approved by the Apex Committee was greater than the tendered value and there was a saving? Was this addressed by the HPSC in the present SAAP? (tabular and 200 words)**

Reply:- No such deviation brought to notice so far, since no DPRs have been approved by SLTC.

- **List out the number of city-wise projects where the second and third installments were claimed. (Tabular form).**

Reply:- None.

- **List out the city-wise completed projects. Was the targeted benchmark achieved? Explain the reasons for non-achievement (tabular form and 400 words)**

Reply:-None.

- **List out the details of projects taken up in PPP model. Describe the type of PPP (tabular; 300 words)**

Reply:-None.

- **List out and describe any out-of-the-box initiatives/Smart Solutions/resilience used/incorporated in the projects under implementation. What is the nature of the innovation in the projects? (tabular; 300 words)**

Reply:-None.

## Service Levels



The focus of AMRUT is to achieve service level benchmarks, such as universal coverage in water supply, sewer connections, and so on. In the approved SAAPs, the States/ULBs have targeted the benchmark of universal coverage. The SAAP has to review the progress towards targets set by the States/ULBs to move towards achievement of universal coverage, etc. Please complete the following table and respond to the questions based on the table.

Name of City	Service Level Benchmark		SAAP Baseline (as in 2015)	SAAP Mission Target	For the last Financial Year	
					Target upto beginning of current FY	Achievement upto beginning of current FY
NDMC	Sewerage	100	55	-	-	-
	Water Supply	100	86.44	-	-	-
South DMC	Sewerage	100	55*	-	57	-
	Water Supply	100	81.30*	-	84	-
North DMC	Sewerage	100	55*	-	57	-
	Water Supply	100	81.30*	-	84	-
East DMC	Sewerage	100	55*	-	57	-
	Water Supply	100	81.30*	-	84	-

**\*Note : Since in Delhi, the water & sewerage are mandate of DJB, hence ULB wise data is not available.**

- **In how many projects, city-wise, have targets not been achieved? What is the Plan for Action to achieve the targets? (tabular form; 500 words)**

Reply:-Not applicable at present, as Projects have not commenced so far.

- **What are the status of the ongoing DPR preparation and the plan of action for the pending DPRs? (300 words)**

Reply:-It is informed that only three DPRs have been received so far, out of which one pertains to sewerage and two pertains to greener & parks. DPRs of other four projects are yet to be submitted, which are likely to be submitted by 15/10/2016. The matter regarding submission of DPRs has already regularly been taken up and in case of any further delay, intervention of higher level will be sought to make things move ahead.

- **How many SLTC meetings had been held in the State? How many DPRs have been approved by the SLTC till date? (250 words)**

Reply:- No meeting of SLTC has taken place, so far. Since, DPRs submission has been late. Once the DPRs are submitted, these will be taken up before SLTC. However, the SLTC meeting may take place sometime after 15/10/2016.

### Capacity Building

There are two types of capacity building – individual and institutional. The Apex Committee had approved the annual capacity building plan and the SAAP of the current year has to review the progress of the capacity plan. Please fill out following table and answer the questions.

S. No	Name of ULB	Name of Department	Total number to be trained in Mission period	Target to be trained during the previous Financial Year	Number fully trained during the previous Financial Year	Name of the training institute
1.	NDMC	Engineering, Public Health, Town Planning, Finance & Revenue, Administration	30-40	Nil	Nil	IIPA CSE IIHS
2.	South DMC	Engineering, Public Health, Town Planning, Finance & Revenue, Administration	70-100	Nil	Nil	IIPA CSE IIHS
3.	North DMC	Engineering, Public Health, Town Planning, Finance & Revenue, Administration	70-100	Nil	Nil	IIPA CSE IIHS
4.	East DMC	Engineering, Public Health, Town Planning, Finance & Revenue, Administration	70-100	Nil	Nil	IIPA CSE IIHS
5.	DJB	Engineering, Public Health, Finance & Revenue, Administration	20-30	Nil	Nil	IIPA CSE IIHS

- **In how many departments was training completed as approved in the SAAP of the last Financial Year? In how many departments was training partially done and in how many departments training not done at all? Please give reasons (300 words)**

Reply:-One training session has been organized in the Finance & Revenue and Administration. The dates for training in Engineering, Public Health, Town Planning is being worked out as per mutually convenient dates with the Training Entity.

- **List out the training institutes that could not complete training of targeted functionaries. What were the reasons and how will this be avoided in future? (tabular; 300 words)**

Reply:-The SAAP for the FY 2015-16 was approved in the month of March, 2016, thereafter the process of selection of training entities was carried out and finalized in the Month of July, 2016. Subsequently, MoU has been signed. IIPA has imparted one training session in finance & Revenue and administration and has now asked for finalizing the dates for next session. The MoU has also been signed with the other two training entities i.e. CSE and IIHS and training schedule is already under

process and has been delayed due to mutually convenient dates and for want of list of participants from the ULBs.

- **What is the status of utilization of funds? (250 words)**

Reply:- The expenditure incurred on the training session conducted by IIPA has been processed for onward transmission to MoUD, GoI for payment.

- **Have the participants visited best practice sites? Give details (350 words)**

Reply:- Yes, the participant visited the practice site.

- **Have the participants attended any national/international workshops, as per guideline (Annexure 7)? (350 words)**

Reply:- Participants from the three DMCs/NDMC and DJB attended three workshops organized by NITI Aayog on Urban Improvement.

- **What is the plan of action for the pending activities, if any? (400 words)**

Reply:- All the pending activities are being taken up on priority basis and sincere efforts shall be made to catch-up with the MoUD, GoI guidelines/timelines.

## Reforms

According to Guideline 4.3, incentives of previous year will be given at the start of succeeding year, for which States are required to do a self-assessment, on receipt of which incentives will be awarded. A key requirement to claim incentives is to achieve at least 70 percent Reforms for that year. Some of the criteria to be considered while doing the assessment are as follows:

Sl. No.	Reform Type	Milestones	Target for the last FY	Achievement for the last FY	Number of ULBs achieved 70 percent	Number of ULBs not achieved 70 percent
1.	E-Governance	Creation of Website	Yes	Achieved	04	00
		Publication of e-newsletter, Digital India initiatives	Yes	Not	01	03
		Support Digital India	Yes	Yes	04	-
		Coverage with E-mass	Yes	Yes	02	02
2.	Constitution and professionalization of municipal cadre	Establishment of municipal cadre.	Yes, partially	No	00	04
		Cadre linked training.	Yes	NA	NA	NA
		Policy for engagement	Y	NA	NA	NA
		The State will prepare a Policy for Right-sizing the number of municipal functionaries depending on, say, population of the ULB, generation of internal resources and expenditure on salaries	NA	NA	NA	NA
3.	Augmenting double entry accounting	Complete migration to double entry accounting system and obtaining an audit certificate to the effect from FY2012-13 onwards..	Yes	Yes	04	00
		Appointment of internal auditor.	Yes	Yes	04	00
		Publication of Annual financial statement on website	Yes	Yes	04	00
4.	Urban	Preparation of Master Plan using GIS.	NA	NA	NA	NA

	Planning and City Development Plans	Preparation of Service Level Improvement Plans (SLIP), State Annual Action Plans (SAAP).	Yes	Yes	04	00
		Establish Urban Development Authorities	Yes, DDA has been established.			
		Make action plan to progressively increase Green cover in cities to 15% in 5 years.	Yes	No	03	01
		Develop at least one children park every year in the AMRUT cities.	Yes	No	00	04
		Establish a system for maintaining of parks, playground and recreational areas relying on People Public Private Partnership (PPP) model.	Yes	No	00	04
		Make a State level policy to implement the parameters given in National Mission for Sustainable Habitat.	Under, DDA preview.			
5.	Devolution of funds and functions	Ensure transfer of 14th FC devolution to ULBs	NA	NA	NA	NA
		Appointment of State Finance Commission and making Decisions	Yes	NA	NA	NA
		Implementation of SFC recommendations within timeline	Yes	No	00	04
		Transfer of all 18 functions to ULBs	Yes	No	00	04
6.	Review of Building by-laws	Revision of building bye laws periodically	Yes	Yes	04	00
		State to formulate a policy and action plan for having a solar roof top in all buildings having an area greater than 500 square meters and all public buildings	No	No	04	00
		State to formulate a policy and action plan for having Rain water harvesting structures in all commercial, public buildings and new buildings on plots of 300 sq. meters and above.	Yes	Yes	04	00
		Create single window clearance for all approvals to give building permissions	Yes	Yes	04	00
7.	Establish and operationalize financial intermediary-pool finance, access external funds, float municipal bonds	NA	NA	NA	NA	
8.	Credit Rating	No	No	04	00	
9.	Energy and Water audit	Energy (Street lights) and Water Audit (including nonrevenue water or losses audit).	Yes	Yes, partially	04	00
		Making STPs and WTPs more energy efficient	Yes	Yes	04	00
		Optimize energy consumption in street lights by using energy efficient lights and increasing reliance on renewable energy	Yes	Yes, partially	04	00
		Give incentives for green buildings (e.g. rebate in property tax or charges connected to building permission/ development charges)	Yes	Yes	04	00

- **Have the Reform formats prescribed by the TCPO furnished?**

Reply:-No.

- **Did the State as a whole complete 70 percent of Reforms? If, yes was the incentive claimed? (100 words)**

Reply:-Though, Delhi has achieved 90% of reforms, these have not been recorded properly, hence no incentive has been claimed during the last FY 2015-16.

- **What was the amount of incentive claimed? How was it distributed among the ULBs and what was it used for? (tabular; 300 words)**

Reply:- N.A.

- **What is the status of Reforms to be completed in the Mission period? Has advance action been taken and a Plan of Action prepared? (500 words)**

Reply:- Many of the reform milestones have already been achieved. However, there is some gap due to lack of proper documentation and coordination. Compilation of the reforms achieved has not been done properly. Action on the remaining areas is also under process.

- **Give any instances of innovation in Reform implementation. (300 words)**

Reply:- N.A.

#### Use of A&OE

- **What are the items for which the A&OE has been used? (tabular; 250 words)**

Reply:- Reimbursement of training expenses under process.

- **Are the items similar to the approved items in SAAP or there is any deviation? If yes, list the items with reasons (tabular; 300 words)**

Reply:- N.A.

- **What is the utilization status of funds? (tabular; 250 words)**

Reply:- Since projects have not yet started, utilization of funds has not taken place.

- **Has the IRMA been appointed? What was the procedure followed?(250 words)**

Reply:- The appointment of PDMC is under process. The appointment of IRMA shall be taken up after approval and start of sanctioned projects.

- **5If not appointed, give reason for delay and the likely date of appointment (100 words)**

Reply:-Since projects have not yet started, the appointment of IRMA has not been taken up. The same shall be done after sanctioned projects are approved and get started.

- **Have you taken up activities connected to E-Municipality as a Service (E-MAAS)? Please give details. (250 words)**

Reply:-Yes, almost all the activities under E-MAAS are under implementation and some of them have been achieved also. However, there is some gap due to lack of proper documentation and coordination. Compilation of the reforms achieved has not been done properly.

- **Have you displayed the logo and tagline of AMRUT prominently on all projects? Please give list. (tabular; 100 words)**

Reply:- The same shall be done when projects get started.

- **Have you utilized the funds on any of the inadmissible components (para 4.4)? If yes, give list and reasons. (tabular; 350 words)**

Reply:-N.A.

### **Funds flow**

One reason for project delay has been delayed release of funds. In the following table indicate the status of funds release and resource mobilization.

Sl. No	City name	Project name	Funds flow							
			GoI		State		ULB/Others		Total funds flow to project	Total spent on project
			Approved amount	Disbursed	Approved	Disbursed	Approved	Disbursed		
1.	South DMC	Replacement of old 900 mm dia PSC duplicate...	113.42	113.42	-	-	-	-	-	-
2.	North DMC	P/L sewer line in Bhalaswa Group of Colonies....	34	34	-	-	-	-	-	-
3.	North DMC	P/L sewer line in Wazirabad Group of Colonies under coronation pillar WWTP (Total Length 87 Kms),....	70	70	-	-	-	-	-	-
4.	NDMC	Nurseries (details enclosed in SLIP), (i) Construction of modern nursery at NDMC	0.76	0.76	-	-	-	-	-	-
5.	South DMC	Paved Pathway: Development of Katcha walkway & tarils in parks.	4.00	4.00	-	-	-	-	-	-
6.	East DMC	Strengthening the green spaces and parks in East Delhi.	0.89	0.89	-	-	-	-	-	-

- **In how many projects, city-wise, has the full funds been sanctioned and disbursed? (tabular form; 500 words)**

Reply:-During the FY 2015-16, SAAP for Rs. 223.07 Crores. However, only 1<sup>st</sup> installment of Rs. 44.61 Crores was received from GoI and fully disbursed.

- **Identify projects where delay in funds release led to delay in project implementation? (300 words)**

Reply:-None, as projects have been delayed because DPRs have not been submitted till date.

- **Give instances of doing more with less during implementation. (400 words)**

Reply:- N.A.

### **Funds disbursements and Conditions**

- **How many project fund request has been made to the GoI? (250 words)**

Reply:- None.

- **How many installments the GoI has released? (250 words)**

Reply:-Only one installment has released by MoUD, GoI for the approved in SAAP for FY 2015-16.

- **Is there any observation from the GoI regarding the claims made? (350 words)**

Reply:-None.

- **List out the conditions imposed by the Apex Committee, State HPSC and the SLTC. Have all the conditions been complied with? If, no identify the conditions not complied with and give reasons for non-compliance. (tabular; 500 words)**

Reply:- None.

## Chapter 3: STATE ANNUAL ACTION PLAN (SAAP)

The SAAPs are aggregated from the SLIPs. Please fill out the Master Plan of projects (Table 3.1; pg.43) and the state level plan for achieving service levels (Table 3.5; pg.46 of AMRUT Guidelines).

Table 3.1: SAAP –Master Plan of all projects to achieve universal coverage during the current Mission period based on Table 2.1 (FYs 2015-16 to 2019-20)

Rs. In Crores

Sl. No.	Name of ULB (water supply and sewerage)	Total number of projects to achieve universal coverage		Estimated Cost		Number of years to achieve universal coverage
		2015-16	2016-17	2015-16	2016-17	
1.	NDMC	-		-		-
2.	North DMC (DJB)*	02	1	104	95	-
3.	South DMC (DJB)*	-	1	-	55	-
4.	East DMC (DJB)*	-	5	-	101.12	-

\*Water supply and sewerage is the mandate of DJB and DJB is parastatal agency.

Table 3.5: SAAP – State level Plan for Achieving Service Level Benchmarks

Proposed Priority Projects	Total Project Cost	Indicator	Baseline	Annual Targets based on Master Plan (Increment from the Baseline Value)					
				FY 2016		FY 2017	FY 2018	FY 2019	FY 2020
				H1	H2				
<b>Water Supply</b>									
1	113.42	Household level coverage of direct water supply connections	86.44% (NDMC)	83.87	83.87	84	86	87	89
			81.30% (in rest ULBs)						
		Per capita quantum of water supplied	317.63 (NDMC)	271.13	271.13	275	275	275	275
			225 (in rest ULBs)						
Quality of water supplied	91.65% (NDMC)	94.07	94.50	96.07	97	98	98.50		
	96.50% (in rest ULBs)								
<b>Sewerage and Septage Management</b>									
2	104.00	Coverage of latrines (individual or	55	55.50	56.50	57	59	62	65



Proposed Priority Projects	Total Project Cost	Indicator	Baseline	Annual Targets based on Master Plan (Increment from the Baseline Value)					
				FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	
		community)							
		Coverage of sewerage network services	55%	55	56	57	62	65	72
		Efficiency of Collection of Sewerage	63%	63.50	64	65	67	69	72
		Efficiency in treatment	75%	75.50	76	77	79	81	83
<b>Drainage</b>									
		Coverage of storm water drainage network	95%	95.50	95.50	96	97	98	98.50
<b>Urban Transport</b>									
		Service coverage of urban transport in the city	N.A.	-	-	-	-	-	-
		Availability of urban transport per 1000 population	N.A.	-	-	-	-	-	-
<b>Others</b>									

Details of the Projects Sector Wise that are being proposed For Approval to the Apex Committee for FY 2016-17

Sl. No	City name	Project name	Estimated cost and share				Change in service levels		
			GoI	State	ULB/Others	Total	Indicator	Existing	After project completion
1.	North DMC	P/L sewer line in BudhVihar Group of Colonies..	95	-	-	95	Coverage of Sewerage connection	55	57
2.	South DMC	Construction / Improvement of drainage scheme for Sidharth Ext.....	7.92	-	-	7.92	Storm water drainage	NA	NA
3.	South DMC	Providing and laying sewer line in Raj Nagar group of colonies in Bijwasan Constituency.	55	-	-	55	Coverage of Sewerage connection	55	57

4.	East DMC	Construction of 5.90 MG (26.80 ML) UGR/BPS at Sonia Vihar (AC-70)	40.40	-	-	40.40	Coverage of water supply connection	NA	100
5.	East DMC	P/L/J feeder and peripheral water mains in the command of 26.80 ML UGR/BPS, Sonia Vihar (AC-70)	38.50	-	-	38.50	Coverage of water supply connection	NA	100
6.	East DMC	P/L/J water supply distribution network in B to G Block Sonia Vihar Karawal Nagar (AC-70)	12.24	-	-	12.24	Coverage of water supply connection	NA	100
7.	East DMC	P/L/J water supply distribution network in village Sabhapur Extended Abadi under, Karawal Nagar (AC-70)	5.266	-	-	5.266	Coverage of water supply connection	NA	100
8.	East DMC	P/L/J water supply distribution network in Shri Ram Colony, Rajiv Nagar under KarawalNgar (AC-70)	4.714	-	-	4.714	Coverage of water supply connection	NA	100
9.	NDM C	Construction of 25 Nos. Rain water harvesting system with storage reservoir having capacity	2.70	-	-	2.70	NA	NA	NA
10.	East DMC	Strengthening the green spaces and parks in East Delhi	3.99	-	-	3.99	NA	NA	NA

### **1. Principles of Prioritization**

Under this section states will prioritize and recommend projects for selection under AMRUT (AMRUT Guidelines; para 7). The States will identify project based on gap analysis and financial strength of ULBs. While prioritizing projects, please provide information responding to the following questions, in words, not more than as indicated against each question:

- **Has consultation with local MPs/ MLAs, Mayors and Commissioners of the concerned ULBs been carried out prior to allocation of funding? Give details of dates and number of participants (tabular; 250 words)**

Reply:-While, the SLIPs are prepared by the ULBs/parastatal, it is presumed that consultation with MPs/MLAs/Counselors has been carried out before conceiving the project.

- **Has financially weaker ULBs given priority for financing? Please give list.(200 words)**

Reply:- Yes, during deciding present SAAP 2016-17, special allocation have been given for projects of East DMC.

- **Is the ULB with a high proportion of urban poor has received higher share? Please give list. (250 words)**

Reply:-Yes.

- **Has the potential Smart cities been given preference? Please give list (200 words)**

Reply:-No, NDMC being financially sound, therefore priority has not been given to them.

- **What is the quantum of Central Assistance (CA) allocated to the State during 2016-17? (100 words)**

Reply:- 100% of central funding of Rs 267.60 Crores.

- **Has the allocation to different ULBs within State is consistent with the urban profile of the state? (260 words)**

Reply:-Yes

## 2. Importance of O&M

It has been observed that ULBs pay little attention to the operation and maintenance of infrastructure assets created after completion of projects. This tendency on the part of implementing agencies leads to sheer loss off national assets. Please fill out the Plan of action for A&OE expenses given in Table 4 (pg-48) of AMRUT Guidelines and answer the following questions.

- **Do projects proposed in the SAAP include O&M for at least five years? What is the nature of O&M? (tabular; 300 words)**

Reply:-No.

- **How O&M expenditures are propose to be funded by ULBs/ parastatal? (200 words)**

Reply: - Maintenance department is separate wing in the parastatal i.e. DJB. After completion of the projects the same are handed over to maintenance wing who have a separate budget.

- **Is it by way of levy of user charges or other revenue streams? (100 words)**

Reply:- Maintenance department is separate wing in the parastatal i.e. DJB. After completion of the projects the same are handed over to maintenance wing who have a separate budget.

- **Has O&M cost been excluded from project cost for the purpose of funding? (100 words)**

Reply:-Yes.

- **What kind of model been proposed by States/ULBs to fund the O&M? Please discuss. (250 words)**

Reply:- Maintenance department is separate wing in the parastatal i.e. DJB. After completion of the projects the same are handed over to maintenance wing who have a separate budget.

- **Is it through an appropriate cost recovery mechanism in order to make them self-reliant and cost-effective? How? (250 words)**

Reply:- Maintenance department is separate wing in the parastatal i.e. DJB. After completion of the projects the same are handed over to maintenance wing who have a separate budget.

### **3. Reform Implementation**

In order to become eligible to claim the 10% incentive, the State is required to implement the Reforms prescribed by GoI. The states are also required to a self-assessment and based on the score the Apex committee will decide the eligibility of the state.

- **Fill out the tables prescribed by the TCPO. What are the Reform type, steps and Target for 2016-17? (tabular; 300 words)**

Reply:- Table has been furnished, which may be seen at page 19-23 and 42-43.

- **Fill out Table 5.5 (pg. 54) given in the AMRUT Guidelines. What is the outcome of the self-evaluation done for reporting progress on reform implementation in order to receive the 10% incentive? (tabular; 350 words)**

Reply:- Table has been furnished, which may be seen at page . We have evaluated ourselves and given a score of 80.35%, for the year 2015-16. It is brought to the notice that Delhi has achieved almost all the reforms, however the same is not compiled/documentated properly, efforts are being made to bring all the reforms on record with the help of TCPO.

- **Have any issues been identified during the review by HPSC on Reforms implementation? What are the issues? (250 words)**

Reply:- As per the AMRUT guidelines, one of the reforms mentioned is the Swachh Bharat Mission Reforms was not done during FY 2015-16 and the same was discussed in the SHPSC, it was resolved that information and record should be obtained from all the ULBs regarding alimentation of open defecation, etc. This is expected to resolve in this FY i.e. 2016-17.

- **Have these issues been considered while planning for reform implementation? How? (tabular; 250 words)**

Reply:- One of the reforms mentioned in guidelines i.e. “Swachh Bharat Mission” was not done during FY 2015-16 and the same was discussed in the SHPSC, it was resolved that information and record should be obtained from all the ULBs regarding alimentation of open defecation, etc and expected to resolve the same in this FY i.e. 2016-17.

#### **4. Annual Capacity Building Plan**

The state is required to submit a Capacity Development Plan along with the SAAP for approval by the MoUD, to empower municipal functionaries and lead to timely completion of projects..

- **What is the physical and financial Progress of capacity development at state level? (350 words)**

Reply:- As In.

- **Do you feel that there is a need to include any other category of official, new department or module? (400 words)**

Reply:- None.

- **What are the issues that are been identified during the review? (350 words)**

Reply:- None.

- **Have the activities in your current year Capacity Building Plan – training, exposure visits (ULB staff and elected representatives), seminars/workshops, etc. – been vetted/approved by NIUA?**

Reply:- Yes, it is being taken up by the training entities, separately.

- **What is the present institutional capacity in the ULBs of the state; have the RPMC, UMC, etc. been appointed? Are there other PMUs, PIUs, etc. which are still operational?**

Reply:- No, presently under consideration.

- **What has been the progress during the previous year/s in institutional capacity building, especially but not only in the seven areas that are mentioned in the AMRUT Guidelines? (p. 67)**

Reply:- None.

- **Attach the Quarterly Score Cards on p. 73 of the Mission Guidelines.**

Reply:- The quarterly progress cards for quarter ending September, 2016 may be seen in table 7.4.

- **Have those issues been addressed? How? (500 words)**

Reply:-Yes, being addressed.

## 5. A&OE

The 10% allocation for A&OE has been divided into two parts, 8% State fund and 2% GoI fund.

- **What is the committed expenditure from previous year? (200 words)**

Reply:- There is no A&OE expenses during FY 2015-16.

- **What are the issues that are been identified during the review? (350 words)**

Reply:-None.

- **Have the A&OE fund used only for admissible components? (200 words)**

Reply:- Yes. All A&OE fund are being used only for admissible components. In the FY 2016-17 individual capacity building programme are being organized in association with selected training entities. No other A&OE expenses made so far.

- **How the ULB/State wants to carry out the implementation of the projects, (establishment of IRMA/PDMC/SMMU/CMMU)? (350 words).**

Reply:- Under consideration.

### Financing of Projects

Financing is an important element of the SAAP. Each state has been given the maximum share that will be given by the Central Government. (Para 5 of AMRUT Guidelines). The State has planned for the remaining resource generation at the time of preparation of the SAAP. The financial share of cities will vary across ULBs. Information responding to the following questions regarding financing of the projects proposed under AMRUT, in words has been indicated below:

- **What is the State contribution to the SAAP? (should be greater than 20 percent, Para 7.4 of AMRUT Guidelines) (150 words)**

Reply:- Nil, as 100% funded by MoUD, GoI.

- **Fill out Table 3.4 at pg.45 of AMRUT Guideline. How the residual financing (over and above Central Government share) is shared between the States, ULBs? (tabular; 200 words)**

Reply:- Not Applicable.

- **Fill out Table 3.3 at pg 44 of AMRUT Guidelines. Has any other sources identified by the State/ULB (e.g. PPP, market borrowing)? Please discuss. (tabular; 250 words)**

Reply:- Not Applicable.

- **Whether complete project cost is linked with revenue sources in SAAP? Please describe? (250 words)**

Reply:- Yes.

- **Has projects been dovetailed with other sectoral and financial programme of the Centre and State Governments? (250 words)**

Reply:- None.

- **Has States/UTs explored the possibility of using Public Private Partnerships (PPP), as a preferred execution model? Please discuss. (300 words)**

Reply:- No such instance as yet.

- **Are PPP options included appropriate Service Level Agreements (SLAs) which may lead to the People Public Private Partnership (PPPP) model? How? (300 words)**

Reply:- Not Applicable.

**Table 1.1 Breakup of total MoUD allocation for AMRUT**Name of State: **Govt. of NCT of Delhi**

FY 2016-17

Total Central funds allocated to State	Allocation of Central funds for A&OE(@ 8% of Total given in column1)	Allocation of funds for AMRUT (Central share)	Multiply col. 3 by x3) for AMRUT on col. 4 (project proposal to be three- times the annual allocation - CA)	Add equal (col. 4) State/UL B share	Total AMRUT annual size (cols.2+4+5)
1	2	3	4	5	6
267.60	7.13	265.73	NA	0	272.86

\* As per the latest communication from MoUD, GoI vide letter No. M55/FD-11/FD/2016, dated 16/02/2016, AMRUT scheme will now be funded 100% by central funding.





**Table 1.3: Abstract-Use of Funds on Projects: On Going and New (2015-16 & 2016-17)**

(Amount in Crores) FY 2016-17

Sl. No.	Sector	Total Project Investment	Committed Expenditure (if any) from Previous year							Proposed Spending during Current Financial year							Balance Carry Forward for Next Financial Years						
			Centre	State			ULB			Centre	State			ULB			Centre	State			ULB		
				14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total		14th FC	Others	Total	14th FC	Others	Total
1	Water Supply	214.54	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Sewerage and Septage Management	254.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Drainage	7.92	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Urban Transport	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Others (Greenery)	12.34															5.12	-	-	-	-	-	-
	Grand Total	488.80	-	-	-	-	-	-	-	-	-	-	-	-	-	-	5.12	-	-	-	-	-	-

**Table 1.4: Abstract-Plan for Achieving Service Level Benchmarks**

**State Govt. of NCT of Delhi**

**FY 2016-17**

Proposed Priority Projects	Total Project Cost	Indicator2	Baseline 3	Annual Targets based on Master Plan (Increment from the Baseline Value)					
				FY 2016		FY 2017	FY 2018	FY 2019	FY 2020
				H1	H2				
<b>Water Supply</b>									
		1. Household level coverage of direct water supply connections	86.44 (NDMC)	83.87	84	86	87	89	
			81.30 (rest ULBs)						
		2. Per capita quantum of water supplied	317.63 (NDMC)	271.13	275	275	275	275	
			225 (in rest ULBs)						
		3. Quality of water supplied	91.65 (NDMC)	94.50	96.07	97	98	98.50	
			96.50 (rest of ULBs)						
<b>Sewerage and Septage Management</b>									
		4. Coverage of latrines (individual or community)	55	56.50	57	59	62	65	
		5. Coverage of sewerage network services	55	56	57	62	65	72	
		6. Efficiency of Collection of Sewerage	63	64	65	67	69	72	
		7. Efficiency in treatment	75	76	77	79	81	83	
<b>Drainage</b>									
		8. Coverage of storm water drainage network	95	95.50	96	97	98	98.50	
<b>Urban Transport</b>									
		9. Service coverage of urban transport in the City	-	-	-	-	-	-	
		10. Availability of urban transport per 1000 Population	-	-	-	-	-	-	
Others									

**Table 3.2: SAAP - Sector Wise Breakup of Consolidated Investments for all ULBs in the**

Name of State: Govt. of NCT of Delhi

FY 2016-17

(Amount in Crores)

Name of City	Water Supply	Sewerage and Septage Management	Drainage	Urban Transport	Others	Reforms	Total
1	2	3	4	5	6	7	8
East Delhi Municipal Corporation	101.12	-	-	-	3.99	-	105.11
New Delhi Municipal Council	2.70	-	-	-	-	-	2.70
North Delhi Municipal Corporation	95	-	-	-	-	-	95.00
South Delhi Municipal Corporation	-	55.00	7.92	-	-	62.92	62.92
Total Project Investments							265.73
A&OE							7.20
Grand Total							272.93



**Table 7.4: Quarterly Score Cards for States**  
**Financial and physical progress on capacity building (State level)**

**Quarter ending September, 2016**  
**Total number of ULBs: 04**

Number of ULBs above/below proportionate target (from table 7.3 of AMRUT guideline)	Name of the department/position	Physical		Financial		Total number trained, if relevant, upto quarter	Total funds utilized upto quarter
		Total target in FY 2016-17	Proportionate target upto quarter	Funds allocated in current FY	Proportionate target upto quarter		
above	Individual training	100-120	30	02.00	0.10	29	.130
	Institutional capacity building	-	-	-	-	-	-
Below	RPMC and UMC	-	-	-	-	-	-
	Other- specify	-	-	-	-	-	-
	Other-specify	-	-	-	-	-	-

**Table 3.1: SAAP – Master Plan of all projects details to achieve universal coverage during the current Mission period based on Table 2.1 (FYs 2015-16 and 2019-20) (Amount in Rs.)**

Name of State: Govt. of NCT of Delhi **Current Mission period 2015-20 (2015-16)**

Sr. No.	Name of ULB (water supply and sewerage)	Total number of projects to achieve universal coverage	Estimated Cost	Number of years to achieve universal coverage
1	2	3	4	5
2	NDMC	-	-	-
3	North DMC	3	199	5
4	South DMC	2	62.92	5
5	East DMC	3	101.12	5

**Table 4: SAAP - Broad Proposed Allocations for Administrative and Other Expenses**

Name of State: Govt. of NCT of Delhi

FY2016-17

Sr. No	Items proposed for A&OE	Total Allocation	Committed Expenditure from previous year (if any)	Proposed spending for Current Financial year	Balance to Carry Forward			
					FY 2017)	FY 2018	FY 2019	FY 2020
1	Preparation of SLIP and SAAP	.20	NIL		From 2015-16			
2	PDMC	2.50						
3.	Procuring Third Party Independent Review and Monitoring Agency	.73						
5	Publications (E-Newsletter, guidelines, brochures etc.)	.50						
6	Capacity Building and Training - CCBP, if applicable - Others	02.00						
7	Reform implementation	01.00						
8	Others	0.20						
Total		7.13					7.00	-



**Table 5.2: SAAP – Reforms Type, Steps and Target for AMRUT Cities FY-2016-2017**

Sl. No.	Type	Steps	Implementation Timeline	Target to be set by states in SAAP			
				April to Sep, 2015	Oct, 2015 To Mar, 2016	April to Sep, 2016	Oct, 2016 to Mar, 2017
1	E-Governance	1. Coverage with E-MAAS (from the date of hosting the software) <ul style="list-style-type: none"> <li>• Registration of Birth, Death and Marriage,</li> <li>• Water &amp; Sewerage Charges,</li> <li>• Grievance Redressal,</li> <li>• Property Tax,</li> <li>• Advertisement tax,</li> <li>• Issuance of Licenses,</li> <li>• Building Permissions,</li> <li>• Mutations,</li> <li>• Payroll,</li> <li>• Pension and e-procurement.</li> </ul>	24months	Already achieved	Already achieved	Already achieved	Already achieved
2	Constitution and professionalization of municipal cadre	1. Establishment of municipal cadre. 2. Cadre linked training.	24months	Achieved	Achieved	Achieved	Achieved
3	Augmenting Double entry accounting	1. Appointment of internal Auditor.	24months	Achieved	Achieved	Achieved	Achieved

4	Urban Planning and City Development Plans	1. Make a State Level policy for implementing the parameters given in the National Mission for sustainable Habitat	24months	Achieved	Achieved	Achieved	Achieved
5	Devolution of Fund and functions	1. Implementation of SFC Recommendations within timeline.	24months	Implementation of 4 <sup>th</sup> DMC not happened, however 5 <sup>th</sup> DMC has constituted and likely to be implemented by September 2017.			
6	Review of Building by-laws	1. State to formulate a policy and action plan for having a solar roof top in all buildings having an area greater than 500 square meters and all public buildings.	24months	Notification has been sent to Hon'ble LG, GNCTD for approval.			
		2. State to formulate a policy and action plan for having Rainwater harvesting structures in all commercial, public buildings and new buildings on plots of 300sq. meters and above.	24months	Achieved	Achieved	Achieved	Achieved
7	Set-up financial Intermediary at state level	1. Establish and operationalize financial intermediary- pool finance, access external funds, float municipal bonds.	24months	NA	NA	NA	NA
8	Credit Rating	1. Complete the credit ratings of the ULBs.	24months	Credit rating was taken place in 2011-12 when MCD was unified and now likely to be happened by September, 2017			
9	Energy and water audit	1. Give incentives for green buildings (e.g. rebate in property tax or charges connected to building permission/development charges).	24months	Achieved	Achieved	Achieved	Achieved

**Table 5.5: SAAP- Self- Evaluation for Reporting Progression Reform Implementation**

**For Financial Year 2015-16 (Last financial year)**

The reforms achievement will be measured every year after the end of financial year by allocating 10 marks for each reforms milestone achieved as against the targets set by the MoUD.

Sl. No	Year	No of milestones	Maximum Score
1	1 <sup>st</sup> year	28	280

**Incentive based grant release calculation:**

The States will be required to fill the following Self-Assessment Form. Step 1: Fill the following table

Sl. No	Name of ULBs	Maximum Score possible during the year	Score obtained ULB Wise
(1)	(2)	(3)	(4)
1	South DMC	280	240
2	East DMC	280	200
3	North DMC	280	220
4	NDMC	280	240
Subtotal ULB		1120	900
	State		
1	GNCTD	1120	900
Subtotal State			
Overall		1120	900

Step 2: Calculate the overall score in percentage obtained by the state (State score plus ULB score) – 80.35%

Step 3: Only those States achieving 70 percent and above overall reforms score will be considered for incentive.

Step 4: If the overall score is greater than 70 percent, the incentive amount will be distributed among the states depending upon the number of ULBs that have achieved a score of more than 70 percent in the state.

Table 7.2: Annual Action Plan for Capacity Building

Name of State –

FY- 2015-16

**Form 7.2.1 -Fund Requirement for Individual Capacity Building at ULB level**

Sl. No.	Name of ULB	Total numbers to be trained in the current financial year, department wise						Name of the Training Institution (s) identified	No. of Training Programmes to be conducted	Fund Reqd. in current FY (₹ in Crore)
		Elected Reps.	Finance Dept.	Engineering Dept.	Town Planning Dept.	Admin. Dept.	Total			
1	NDMC	06	02	15	02	02	27	IIHS, CSE, IIPA	03	1.50
2	North DMC	06	02	15	02	02	27		03	
3	South DMC	06	02	15	02	02	27		03	
4	East DMC	06	02	15	02	02	27		03	
5	DJB (Parastatal)	06	02	15	00	02	25		02	1.50

Table 7.2: Annual Action Plan for Capacity Building

Name of State –

FY- 2016-17

**Form 7.2.2 -Fund Requirement for State level activities**

Sl. No.	State Level activities	Total expenditure upto current FY	Unspent funds available from earlier releases	Funds required for the current FY (In Crore)
1	RPMC (SMMU)	-	0.20	
2	UMC			
3	Others (Workshops, Seminars, etc.) are approved by NIUA			
4	Institutional/ Reform			
	<b>Total</b>	-	<b>0.20</b>	<b>0.20</b>

Table 7.2.3: Annual Action Plan for Capacity Building

Name of State –

FY- 2015-16

**Form 7.2.3 -Total Fund Requirement for Capacity Building**

<b>Sl. No.</b>	<b>Fund requirement</b>	<b>Individual (Training &amp; Workshop)</b>	<b>Institutional/ Reform</b>	<b>SMMU/RPMC/CMMU</b>	<b>Others</b>	<b>Total (In Crore)</b>
1	Total release since start of Mission (2015)	01.00	-	-	-	01.00
2	Total utilisation- Central Share	-	-	-	-	-
3	Balance available- Central Share	01.00	-	-	-	01.00
4	Amount required- Central Share	07.00	-	-	-	07.00
5	Total fund required for capacity building in current FY 2015-16	07.00	-	-	-	07.00
-						

## **Form 7.2.4 Details of Institutional Capacity Building**

**a. Is the State willing to revise their town planning laws and rules to include land pooling?**

**Reply:-** Since land is central subject, the town planning activities are carried out by DDA. However, it is learnt that some of the Municipal Corporations are helping DDA in land pooling policy.

**b. List of ULBs willing to have a credit rating done as the first step to issue bonds?**

**Reply:-** Yes, all the 3 Municipal Corporations are willing to have credit rating done.

**c. Is the State willing to integrate all work done in GIS in order to make GIS useful for decision making in ULBs?**

**Reply:-** The state is willing to integrate all work done in GIS provided all Municipal agencies and parastatal including DDA in Delhi, provided all of them mutually agree, since many activities are controlled by Central Government.

**d. Is the State willing to take assistance for using land as a fiscal tool in ULBs?**

**Reply:-** No policy exists as of now.

**e. Does the State require assistance to professionalize the municipal cadre?**

**Reply:-** Yes.

**f. Does the State require assistance to reduce non-revenue water in ULBs?**

**Reply:-** Yes, in DJB, a parastatal agency.

**g. Does the State require assistance to improve property tax assessment and collections in ULBs?**

**Reply:-** The collection of property tax is municipal subject. If the Municipal Corporations agree, the state is ready to help in property tax collection.

**h. Does the State require assistance to establish a financial intermediary?**

**Reply:-** Municipal matter.

